LA-GEM: imputation of gene expression with incorporation of Local Ancestry

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Gene imputation and TWAS have become a staple in the genomics medicine discovery space; helping to identify genes whose regulation effects may contribute to disease susceptibility. However, the cohorts on which these methods are built are overwhelmingly of European Ancestry. This means that the unique regulatory variation that exist in non-European populations, specifically African Ancestry populations, may not be included in the current models. Moreover, African Americans are an admixed population, with a mix of European and African segments within their genome. No gene imputation model thus far has incorporated the effect of local ancestry (LA) on gene expression imputation. As such, we created LA-GEM which was trained and tested on a cohort of 60 African American hepatocyte primary cultures. Uniquely, LA-GEM include local ancestry inference in its prediction of gene expression. We compared the performance of LA-GEM to PrediXcan trained the same dataset (with no inclusion of local ancestry) We were able to reliably predict the expression of 2559 genes (1326 in LA-GEM and 1236 in PrediXcan). Of these, 546 genes were unique to LA-GEM, including the CYP3A5 gene which is critical to drug metabolism. We conducted TWAS analysis on two African American clinical cohorts with pharmacogenomics phenotypic information to identity novel gene associations. In our IWPC warfarin cohort, we identified 17 transcriptomewide significant hits. No gene reached are prespecified significance level in the clopidogrel cohort. We did see suggestive association with RAS3A to P2RY12 Reactivity Units (PRU), a clinical measure of response to anti-platelet therapy. This method demonstrated the need for the incorporation of LA into study in admixed populations.

Keywords: Local Ancestry, Gene Expression Model, LA-GEM, PrediXcan, Gene Imputation, Population-specific Genetic Variations, Admixed Populations, Ancestry-specific Gene Associations

1. Introduction

It is widely acknowledged that large-scale genetic studies investigating human diseases have often failed to encompass the extensive diversity seen in global populations, as they primarily focus on individuals of European descent.¹This insufficiency of ethnic diversity in such studies limits our understanding of the genetic underpinnings of human diseases and intensifies health disparities. Moreover, the paucity of ethnic diversity in human genomics research could lead to a potentially hazardous deficiency, or even errors, in our capacity to apply genetic research findings to clinical procedures or public health policies.

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PrediXcan is one of the first and most popular methods used to predict gene expression levels in different tissues or cell types for use in transcriptome-wide association studies (TWAS).² The method leverages large publicly available multi-omic datasets that includes paired single nucleotide polymorphism (SNP) data and gene expression data from multiple individuals and tissues.²⁻³ By training a predictive model on these reference datasets, PrediXcan can predict the expression levels of a given gene in a new individual, based on that person's genetic variation. Outside data can be trained through various available methods.^{4,5} There are various extensions to PrediXcan that have been developed which extend this method to multi-tissue TWAS and causal gene prioritization.⁵⁻⁹

In any association studies, undetected population stratification can lead to false-positive. Therefore, it is critical to implement appropriate correction to adjust these effects.¹⁰ One such measure, used in genome-wide association studies (GWAS), is the inclusion of principal components (PCs), with the first few PCs estimating global ancestry (GA) in the cohort. GA is largely directed by demographic history of the population. However, for admixed population the effects of nearby SNPs or epigenetic changes has been shown to have a significant effect of gene expression¹¹. Thus, local ancestry may be an important consideration in gene expression prediction. Here we have incorporated LA as predictor in PrediXcan framework to assess the if including this variable in the African American population resulting in the improved predictability of the gene models.

2. Methods

In this paper, we propose a modification to PrediXcan method titled LA-GEM (Local Ancestry based Gene Expression prediction Model) to incorporate local ancestry predictors (LA) along with cis region genetic variants in the development of gene expression prediction models. We have used our African American multi-omic hepatocyte dataset (N = 60) to create gene expression prediction models, however this method can be used on any multi-omic data from an admixed cohort in which local ancestry inference is available.

2.1. Primary Hepatocyte Cohort

Sixty-three African Ancestry (AA) primary human hepatocyte (PHHs) cultures were acquired. AA PHHs were either purchased from commercial companies (BioIVT, TRL/Lonza, Life technologies, Corning, and Xenotech), or isolated in-house from cadaveric livers. Livers with active cancer or a history of hepatocarcinoma were excluded from the study. To account for differences in PHH sourcing, transcriptomic data went through additional QC measures (i.e., PC visualization, batch correction) to ensure any differences from source and isolation method were corrected. PHHs were isolated from cadaveric livers using a modified two-step collagenase perfusion procedure previously described in Park et. al.¹² Only hepatocyte cultures with RNA Integrity Number (RIN) over 8 and with sufficient RNA to conduct NGS were used in the study.

2.2. Genotyping, quality control and imputation

DNA was obtained from around 1 million cells of each PHH culture using the Gentra Puregene (Qiagen) kit following manufacturer's protocol. All extracted DNA samples were barcoded for genotyping. Illumina Infinium Multi-Ethnic Global Kit was used for SNP genotyping and standard genotyping protocol was followed. SNPs were filtered out before imputation based on following criterion: (1) SNPs present on the sex and mitochondrial chromosomes. They were filtered out as they could alter the minor allele frequency (MAF) values (2) SNPs having A/T or C/G as it may introduce flip-strand issues. (3) SNPs with low genotype quality (call rate < 0.95).

Using PLINK⁹, individuals with discordant sex information were identified using the sex check function and duplicates or related individuals were identified using the identity-by-descent (IBD) method. An IBD cutoff score of 0.125 was used, indicating third-degree relatedness or closer. No samples were removed after these QC steps. SNPs with MAF<0.05 were removed. Patient ancestries were confirmed using a principal component analysis (PCA) plot of linkage disequilibrium (LD) pruned genotype data. LD pruning was conducted to identify the principal dimensions of genetic variation between samples. Samples that did not cluster along the spectrum for AA within this PCA plot of raw genotype data were removed.¹¹ One individual was excluded after sample and genotyping QC analysis, leaving 62 individuals.

Genotypes were imputed by the TOPMed imputation server (version 1.6.6)12-14 using the TOPMed r2 reference panel, GRCh38/hg38 array build, and 0.3 estimated r2 (rsq) filter threshold. Post-imputation QC includes removal of SNPs with poor imputation quality scores (<0.8), failed Hardy-Weinberg equilibrium tests (p < 0.00001), and low MAFs (<0.05). This resulted in a total of 5,189,820 SNPs included for model building.

2.3. Local ancestry inference

LA was inferred using RFMix (v.1.5.4). RFMix takes as input a set of reference panels (populations with known ancestry) and a set of test individuals, and uses a hidden Markov model to infer the most likely ancestry of each segment of the test individuals' genomes. The output of RFMix is a set of probabilities for each test individual, indicating the likelihood that a specific haplotype segment comes from one of the reference populations.¹³ In this analysis we use Yoruba (African Ancestry) and American white (CEU – European Ancestry) as our refences populations.

2.4. RNA-sequencing and Quality Control

Total RNA was extracted from each PHH culture three days after plating using the Qiagen RNeasy Plus mini kit. Samples with an RNA integrity number (RIN) less than 8 were removed from analysis. This resulted in the removal of 2 samples leaving 60 individuals at the end. Libraries were prepared for sequencing using the TruSeq RNA Sample Prep Kit, Set A (Illumina) per manufacturer's protocol. The cDNA libraries were prepared and sequenced using either HiSeq2500 (Illumina) or HiSeq4000 (Illumina) instruments by the University of Chicago's Functional Genomics core, producing single-end 50bp reads with approximately 50 million reads per sample. As two

instruments were used in this study, we were cognizant of potential batch effect and incorporated methods for correction as previously described.¹⁴

2.5. Gene Expression Quantification

Gene expression was quantified using a collapsed gene model following the GTEx isoform collapsing procedure¹⁵. To evaluate gene-level expression, reads were mapped to genes referenced with GENCODE(v.25) using RNA-SeQC. HTSeq supplied raw counts for gene expression analysis using Bioconductor package DESeq2(v1.20.0). Counts were normalized by regularized log transformation, batch correction was performed using ComBat-Seq¹⁴, and PCA was performed using DESeq2.

Gene expression was normalized by trimmed means of M-values normalization method (TMM) implemented in edgeR.¹⁶ Transcripts per million (TPM) was calculated by first normalizing counts by gene length and then by read depth.¹⁷ Gene expression values were filtered based on expression thresholds < 0.1 TPM in at least 20% of samples and ≤ 6 reads in at least 20% of samples. The expression values for each gene were normalized across samples with inverse normal transformation. To account for unmeasured confounding variables in transcriptome data, we used probabilistic estimation of expression residuals (PEER).¹⁸

2.6. LA-GEM Framework

LA-GEM consists of mainly three steps:

For gene expression prediction, a linear model was trained using reference panel that includes genotype, LA predictor, interaction predictor (interaction between genotype and LA predictor) and corresponding expression data^{2,19} using the following training model equation¹⁹:

$$y_{g} \sim \sum_{a,b,c} w_{a} S_{a} + w_{b} A_{b} + w_{c} I_{c} + \varepsilon$$
⁽¹⁾

where w_a , w_b and w_c are the regression parameter needed to be trained, $S = (S_1, S_2, ..., S_a)$ is the genotype data in the cis region of interest, $A = (A_1, A_2, ..., A_b)$ is the local ancestry predictors for all SNP positions in the cis region and $I = (I_1, I_2, ..., I_c)$ is the Interaction predictor ($I = S \times A$).

Genetically regulated gene expressions are then determined using the above model for new dataset that include combination of genotype and local ancestry information using the following equation:

$$\hat{\mathbf{y}}_{g} \sim \sum_{d,e,f} w_{d} S_{d} + w_{e} A_{e} + w_{f} I_{f}$$
(2)

Estimated genetically regulated gene expressions \hat{y}_g is then associated to the phenotype using the following equation:

$$Z \sim \hat{y}_g + \varepsilon \tag{3}$$

LA-GEM prediction models were trained on 60 African American PHH samples followed by 5-fold cross-validation. Gene models with an average correlation $\rho \ge 0.1$ and P < 0.05 between predicted and observed Expression were deemed well predicted.



Fig. 1. Flowchart showing LA-GEM workflow.

2.7. TWAS association using LA-GEM gene imputation.

As a proof of concept, we use LA-GEM to impute hepatic gene expression in two clinical cohort to identify novel gene associations to drug response. As the expression of hepatic genes are especially important in platelet function and drug metabolism, we imputed gene expression of 1323 genes which were then used in the TWAS conducted using PrediXcan.² We prespecified a TWAS p-value of 3.8x10⁻⁵ as significant (0.05/1323).

2.7.1. African American warfarin Cohort

Through the International Warfarin Pharmacogenomics consortium (IWPC) we collect information from 340 African American patients on warfarin as well as 199 African Americans who were part of the University of Alabama Birmingham Warfarin cohort assess though dbGAP (phs000708.v1.p1). Briefly, clinical and demographic data on stable warfarin dose was collected, defined as the dose of warfarin needed to elicit and INR within therapeutic rage (2-3) for three consecutive clinical visits as previously described.²⁰

2.7.2. ACCOuNT Clopidogrel cohort

Through the ACCOuNT Consortium²¹ we recruited 180 African Americans on the anti-platelet drug, clopidogrel. All subjects included in the TWAS had a biomarker measure of clopidogrel

response, P2Y12 Reactivity Units (PRU). All subjects were on 75 mg of clopidogrel for at least 15 days with inclusion and exclusion criteria as described previously.²¹

2.8. Log Ratio of Interaction Predictors

To quantify the relative influence of interaction predictors in our LA-GEM model, we calculated a Log Ratio for each gene using the formula:

Log Ratio = $\log_2(\text{Count of Interaction Predictors }+1) - \log_2(\text{Count of SNP Dosage Predictors }+1)$

A positive Log Ratio indicates that a gene relies more heavily on interaction predictors, while a negative value suggests greater reliance on genetic dosage predictors.

2.9. Code Availability

The LA-GEM model was implemented in R and employs SNP-based local ancestry calculated using RFMix version 1.5.4. The source code is publicly available and can be accessed at https://github.com/pereralab/LA-GEM.

3. Results

We built two gene expression prediction models, LA-GEM and PrediXcan (using AA PHH as training). We assessed predictive performance using five-fold cross-validation (R2 of model performance). We found that LA-GEM was able to impute 1323 genes at a rho>0.1, p-value ≤ 0.05 (Average rho = 0.397) as compared to 1236 genes imputed well using the PrediXcan model (Average rho = 0.403) in the same dataset without LA (Fig. 2). The average number of predictors for LA-GEM is shown in Table 1.

> Table 1 - Summary table showing total number of Predictable genes and number of different Predictors used to train the model.

	LA-GEM
Number of Predictable genes	1323
Number of Predictors	71702
Number of SNP Dosage Predictor	46028
Number of Interaction Predictors (L.A X SNP Dosage)	25674





Fig. 2. Venn diagram showing number of predictable genes in each of the model.

3.1. Gene list enrichment analysis of predictable genes

KEGG Pathway enrichment analysis (Statistical overrepresentation test) was performed using g:Profiler²⁸ for predictable genes (1323 genes) obtained from LA-GEM. The analysis yielded significant enrichments for several pathways as shown in Fig, 3, notably those linked to pharmacogenomics. Among these, three pathways were found to be prominently enriched: "Metabolism of xenobiotics by cytochrome P450" (KEGG:00980) with a fold enrichment of 3.37 and an adjusted p-value of 0.00285, "Drug metabolism - cytochrome P450" (KEGG:00982) with a fold enrichment of 3.18 and an adjusted p-value of 0.01097, and "Drug metabolism - other enzymes" (KEGG:00983) with a fold enrichment of 2.74 and an adjusted p-value of 0.04196.



Fig. 3. Gene set enrichment of 1323 predictable genes obtained from LA-GEM. Y-axis show categories with their corresponding -log₁₀(p-value) in the X-axis. Color shows the fold enrichment value for each of the processes.

3.2. Genes unique to LA-GEM

Among the 1323 predictable genes, 546 genes were found to be unique to LA-GEM model which were not reported by PrediXcan model as significant (Fig. 2). Out of the 546 unique genes, 2 genes (*MME* and *LRRC37A2*) were found to be strongly associated with global West African ancestry as previously reported¹². In addition, *CYP3A5 CYP1A1, CYP4F2, CBR1*, and *UGT2A1* was also among

the genes unique to LA-GEM which is known to show significant variability in level of expression between population of different ancestry and are important to drug response²².

3.3. Genes unique to PrediXcan

Among the 1323 predictable genes, 459 genes were found to only in the PrediXcan model (Fig. 2). Out of the 459 genes, 6 genes (*DHODH*, *SNAI1*, *RBBP9*, *ENSG00000271239*, *NPR2*, and *SLC39A11*) were found to be strongly associated with global West African ancestry as previously reported.¹²

3.4. Genes common to LA-GEM and PrediXcan

Among the 1323 predictable genes, 777 genes were found to be well imputed by both models. Out of these 777 genes, 4 genes (*CDK18*, *GREM2*, *COL26A1* and *MMP20-AS1*) were found to be strongly associated with West African ancestry as previously reported.¹² The rho average for *CDK18* and *GREM2* were higher in LA-GEM (0.48 versus 0.33 and 0.28 versus 0.26, respectively) but the inverse was true for *COL26A1* and *MMP20-AS* (0.39 versus 0.44 and 0.32 versus 0.54 respectively) The rho average for these genes were evenly distributed around the diagonal (Fig. 4), suggesting one model did not outperform the other in these commonly imputed genes. For genes that were unique to the PrediXcan model, the average difference in rho between models was 0.42. For those gene that were uniquely to LA-GEM the average difference in Rho was 0.46. However, these differences in prediction accuracy were not a significant difference between the two groups of genes (p = 0.07).



Fig. 4. Correlation plot between rho-averages of gene well predicted with LA-GEM and PrediXcan models. Top 10 genes showing the maximum rho-average difference between methods are labelled in dark blue color. Red line shows perfect correlation. Well predicted genes unique to LA-GEM model are shown in light blue. Well predicted genes unique to PrediXcan model are shown in grey. Well predicted genes common between LA-GEM and PrediXcan model are shown in light green. Genes of interest with pharmacogenomic relevance or which are associated with West African ancestry are shown in violet and are labelled in red.

3.5. Differential Role of Interaction Predictors in LA-GEM and PrediXcan Models

In the process of model training for LA-GEM, we observed differences in the role played by the type of predictors, especially interaction predictors, in model efficacy. Among the 546 genes uniquely imputed by the LA-GEM model, 137 genes (or approximately 25% of these significant genes) exhibited a positive Log Ratio of the Count of Interaction predictors. This observation underscores the relevance of interaction predictors as significant contributors in the unique imputation capability of the LA-GEM model.

In contrast, among the 777 genes that were common between LA-GEM and PrediXcan, only 119 genes (approximately 15% of these significant genes) had a positive Log Ratio of the Count of Interaction predictors. This relatively lower proportion suggests that the common genes might rely less on interaction predictors in the LA-GEM model than the genes unique to it.

The detailed distribution of the Log Ratio of the Count of Interaction predictors for these gene sets is depicted in Fig 5. This difference in the involvement of interaction predictors between genes unique to LA-GEM and those common with PrediXcan provides further insight into the distinguishing features of these models.



Fig. 5. Distribution of Positive Log Ratios of Count of Interaction Predictors in Genes Unique to LA-GEM and Common to LA-GEM and PrediXcan

3.6. TWAS association to warfarin dose

Using the IWPC warfarin cohort we imputed hepatocyte gene expression (restricted to those genes that were well imputed -N = 1325) and conducted a TWAS. The top associations are shown in the Manhattan plot (Fig. 6). Bonferroni corrected significant associations were found with 17 genes. No association was seen with known warfarin genes *VKORC1*, or *CYP2C9* as these gene were not well imputed in our models.



Fig. 6. Manhattan plot of TWAS results. The figure shows the association of imputed gene expression to stable warfarin dose in the IWPC cohort. The x-axis show the relative genomic position of each gene tested (N = 1323) and the y-axis show the Log(10) p-value. The red dashed line marked the threshold of significance for this study.

3.7. TWAS association to PRU in patient taking clopidogrel.

Using the ACCOuNT cohort, we imputed the hepatic gene expression in 180 African American patients on clopidogrel. We found no transcriptome-wide significant associations. However, one top association showed *RASA3* gene expression associated with increased PRU (p = 0.0014, Beta = 0.61). This gene has known association to platelet aggregation.²⁹

4. Discussion

This study introduces a novel computational model, LA-GEM, designed to enhance gene expression prediction by integrating local ancestry (LA) predictors with cis-regional genetic variants. The development and deployment of such a model emerge from the understanding that complex trait prediction may be augmented by considering population-specific genetic variations. In many traditional models, such as PrediXcan, the unique genetic contributions of LA are not considered, potentially leading to overlooked associations.²

Our findings revealed that LA-GEM improved gene expression prediction compared to PrediXcan in some genes, suggesting that the inclusion of LA predictors can effectively supplement traditional cis-regional genetic variants. This improvement was demonstrated by the imputation of 1323 genes at a rho>0.1, p-value ≤ 0.05 by LA-GEM, compared to 1236 genes imputed by PrediXcan without considering LA.

Beyond these numbers, our study unveiled a set of 546 genes uniquely predicted by LA-GEM and 777 genes common in both LA-GEM and PrediXcan AA model. Out of 1323, 6 genes (*MME* and *LRRC37A2*, *CDK18*, *GREM2*, *COL26A1* and *ENSG00000281655*) were previously found to be associated with global West African ancestry and exhibited significant differential expression when compared to individuals of European descent.¹² These genes are not only statistically significant but also relevant to pharmacogenomics. For instance, *GREM2*, a gene involved in developmental processes²³, is also associated with allopurinol efficacy²⁴. and *MME*, implicated in neuropeptide degradation²⁵ and associated with ACE inhibitor-induced cough²⁶, were amongst the uniquely predicted genes. Lastly variants in *COL26A1* have been associated to Aspirin-intolerant asthma.²⁷

Importantly, this study highlights the valuable implications of integrating LA predictors in gene expression models for drug response studies. By significantly predicting genes such as *CYP3A5*, *CYP1A1*, *CYP4F2*, *CBR1*, and *UGT2A1* - well-known contributors to drug metabolism and disease progression³⁰⁻³³ - our model may aid in TWAS studies of inter-individual variations in drug responses and adverse drug reactions in African Americans. A particular emphasis should be placed on *CYP3A5*. This gene has been widely recognized for variability between different ethnic groups. The splice variant *CYP3A5*3*, associated with reduced enzyme activity, is less frequent in African populations, resulting in a functional enzyme in African populations. As most European carry the *CYP3A5*3*, the effect of this enzyme on drug response is not well accounted for in studies of European individuals. *CYP3A5* is thought to contribute to drug efficacy and toxicity, including responses to immunosuppressants such as tacrolimus.³⁴⁻³⁵

We applied LA-GEM to the African American warfarin and clopidogrel cohorts, demonstrating its utility in clinical studies. The warfarin cohort revealed 17 genes with significant associations with warfarin dose requirement, providing novel potential genetic influencers of warfarin dosage response beyond the well-known *VKORC1* and CYP2C9 genes³⁶⁻³⁷. The most significate TWAS hit was *GAS2L1* (associated with increased warfarin dose requirement, p = 7.7×10^{-10}), which has previously been associated with thrombocytopenia in women.³⁸ Also, the gene *SELENOO* on chromosome 22 showed association to decrease warfarin dose requirement (p = 5.5×10^{-6}). A previous study in Sub-Saharan Africans found variants near this gene associated to increase R-6 Hydroxy-warfarin metabolite measurement.³⁹

In the ACCOuNT clopidogrel cohort, we discovered an association between *RASA3* gene expression and increase P2Y12 Reactivity Units (PRU) level. While the most notable role of *RASA3* involves platelet function and hemostasis²⁹, this gene's function is not limited to platelets and the bloodstream. It is broadly expressed in many tissues, including the brain, lungs, and kidneys, suggesting it might have additional roles outside of platelets. In cancer biology, the Ras and RAP GTPases regulated by *RASA3* are often involved in tumorigenesis. For instance, inactivation of GAPs (like *RASA3*) can lead to overactive Ras signaling, which can contribute to the development of cancer.⁴⁰ This gene has also been associated to pulmonary hypertension in Sickle Cell Disease.⁴¹ In terms of computational efficiency, LA-GEM and PrediXcan showed similar performance during the model training phase. Specifically, for our limited dataset of 60 hepatocyte samples, both models completed the training within a time frame of approximately 2 to 3 hours. It's worth noting that the computational time is expected to scale linearly with the size of the sample pool, thus offering scalability as more comprehensive datasets become available.

Several innovative methods have set the stage in ancestry inform gene expression prediction. Notable among these are METRO⁴², which enhances transcriptome-wide association studies (TWAS) through a likelihood-based inference framework, and MATS⁴³, which jointly analyzes samples from multiple populations to account for ancestral heterogeneity in gene expression effects. Additionally, a study by Lauren et al.⁴⁴ addressed the genetic architecture of gene expression across diverse populations, emphasizing the necessity for diverse population sampling in genomics. Despite their valuable contributions, none of these methods utilize SNP-based local ancestry as an intrinsic part of their predictive models. Our approach, LA-GEM, distinctively integrates SNP-based local ancestry predictors along with cis-regional variants to make more nuanced gene expression predictions. This unique aspect of LA-GEM not only adds a new layer of granularity to the existing methodologies but also paves the way for future explorations in this growing field.

While our findings are promising, there are several limitations to our study. First, we constructed the LA-GEM models with a limited cohort of 60 hepatocyte cultures. This is reflective of the overall lack of comprehensive multi-omics data in the African American population. With greater amounts of data on which to build these models, we will be better able to predict tissue specific patterns in the under-represented populations. This is also evident by the much greater number of well imputed gene available for the GTEx liver model (N = 3356) which is built on 153 liver samples. It should be noted that only 12 of these sample have any African Ancestry. Second, it is clear that there are still genes that are better predicted without the addition of LA. This suggests that to comprehensively use TWAS in African American population may require both LA-aware as well as traditional gene imputation methods. Lastly, the validation of LA-GEM in other tissues and larger cohorts remains a crucial next step. Ultimately, the incorporation of LA predictors can contribute significantly to personalized medicine, paving the way for treatments and interventions more attuned to a unique admixed genetic background of African Americans.

In conclusion, our study underscores the need for inclusion of LA in genomic methods. LA-GEM serves as a valuable tool in this endeavor, providing novel insights into the genomic architecture of complex traits in multiethnic populations, and highlighting the importance of considering local ancestry when predicting gene expression. The potential to uncover novel ancestry-specific gene associations can revolutionize our understanding of the interplay between genetics, disease, and therapeutic responses.

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